

[illegible]

Substitute for Form 1449A/PTO (Modified)

Complete if Known

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

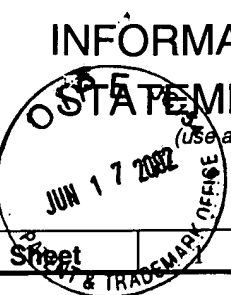
Sheet

of

4

Attorney Docket Number

03660.P011



## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
		4,789,928		Fujisaki	12-06-1988	
		5,890,138		Godin, et al.	03-30-1999	
		5,844,554		Geller, et al.	12-01-1998	
		5,905,975		Ausubel	05-18-1999	
		5,835,896		Fisher, et al.	11-10-1998	
		3,581,072		Nymeyer	05-25-1971	
		5,101,353		Lupien, et al.	03-31-1992	
		5,136,501		Silverman, et al.	08-04-1992	
		5,603,034		Swanson	02-11-1997	
		5,727,165		Ordish, et al.	03-10-1998	
		5,745,765		Paseman	04-28-1998	
		5,774,873		Berent, et al.	06-30-1998	
		5,794,207		Walker, et al.	08-11-1998	
		5,794,219		Brown	08-11-1998	
		5,799,284		Bourquin	08-25-1998	
		5,812,572		King et al.	09-22-1998	
		5,845,266		Lupien, et al.	12-01-1998	
		5,862,223		Walker, et al.	01-19-1999	

RECEIVED

JUN 18 2002

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		JP	0,296,082 A		NTT Data Tsushin KK [Nite]	11/10/1995		

RECEIVED

JUN 20 2002

GROUP 3600

Examiner Signature

Date Considered

12/16/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(use as many sheets as necessary)</small>				Application Number	09/426,410
				Filing Date	October 25, 1999
				First Named Inventor:	Ephrati, et al.
				Group Art Unit	2759
				Examiner Name	Berzin, Shane
Sheet <b>2</b> of <b>4</b>				Attorney Docket Number	03660.P011
<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
84		Search Report dated October 18, 1999m 3 pgs.			
84		ONSALE, About ONSALE, 1996, 2 pgs.	<b>RECEIVED</b> JUN 18 2002 Technology Center 2100		
84		ONSALE, Auction Formats, 1996, 2pgs.			
84		ONSALE, Auction Supersite, September 8, 1997, 7 pgs.	<b>RECEIVED</b> JUN 20 2002		
84		ONSALE, Home, September 8, 1997, 4 pgs.	<b>GROUP 3600</b>		
84		CURRENT Catalog, Christmas Wishes 1991, 4 pgs.			
84		FROOK, John Evan, Packaged apps give auctioneers rich new options, Internetweek, 5/25/98. Issue 716, p. 14, 4/7 p. 2 graphs, 2 pgs.			
84		TROMMER, Diane Moai Intros Auction Software, Electronic Buyer's News, 3/23/98, Issue 1101, page. 78, 1/4p, 2 pgs.			
84		WILDER, Clinton, Auctions for Business, Information Week, 3/16/98, Issue 673, p.90, 2/3p. 1c., 2 pgs.			
86		MILLMAN, Howard, "Legacy Data Links Shrink Costs" Info. World, 1/5/98, Vol. 20, Issue 1, page 51, 2p, 1 chart, 1c, 4 pgs.			
84		Opensite Technologies Introduces Innovative Web Auction Partner Program, Business Wire Page, 08041472, 3 pages, August 4, 1998.			
84		MASE, K., et al., "Scripting Method Based on Temporal Intervals for Designing Interactive Systems, Transactions of the Information Processing Society of Japan, Vol. 39, Issue 5 p 1403-13, 2 pgs., May 1, 1998.			

Examiner Signature		Date Considered	12/16/02
-----------------------	--	--------------------	----------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office,



Substitute for Form 1449A/PTO (Modified)

Complete if Known

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number

09/426,410

Filing Date

October 25, 1999

First Named Inventor:

Ephrati, et al.

Group Art Unit

2759

Examiner Name

Berzin, Shane

Attorney Docket Number

03660.P011

Sheet

4

of

4

## OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner  
Initials\*Cite  
No<sup>1</sup>

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

T<sup>2</sup>

OK

Emaze Software Offers WebThread, ScriptWizard on BuyDirect.com, Business Wire Page: 12120089, 3 pgs. December 12, 1996.

OK

COHEN, Emily, Going...Going...Gone! (Fairmarket's Web Auction Site, and Fairmarket Software's

OK

Search Report for PCT/US00/15982, mailed 08/23/00; 6pgs.

OK

International Preliminary Examination Report for PCT/US99/17248 dated April 27, 2001, 6 pages.

OK

Search Report for PCT /US00/17449, mailed November 14, 2000 4 pages.

OK

International Preliminary Examination Report for PCT/US00/15982 mailed May 8, 2001, 6 pages.

OK

Written Opinion for PCT/US00/17449 dated April 25, 2001, 7 pages.

OK

LAL, Rajiv, et al.; "An Approach For Developing An Optimal Discount Pricing Policy; Management Science, Vol. 30, No. 12, December, 1984, pgs. 1524-1539; the Institute of Management Sciences.

RECEIVED

JUN 20 2002

GROUP 3650

Examiner  
Signature

OK

Date

Considered

12/16/00

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.